



**POLITECNICO**  
MILANO 1863



**MSc Defence - Friday 28<sup>th</sup>, April 2017 Academic Year 2015/2016**

*Technological signature in precision injection compression moulding of polymer Fresnel lens*

Department of Mechanical Engineering - Section of Manufacturing and Production Systems

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Introduction

State of the art

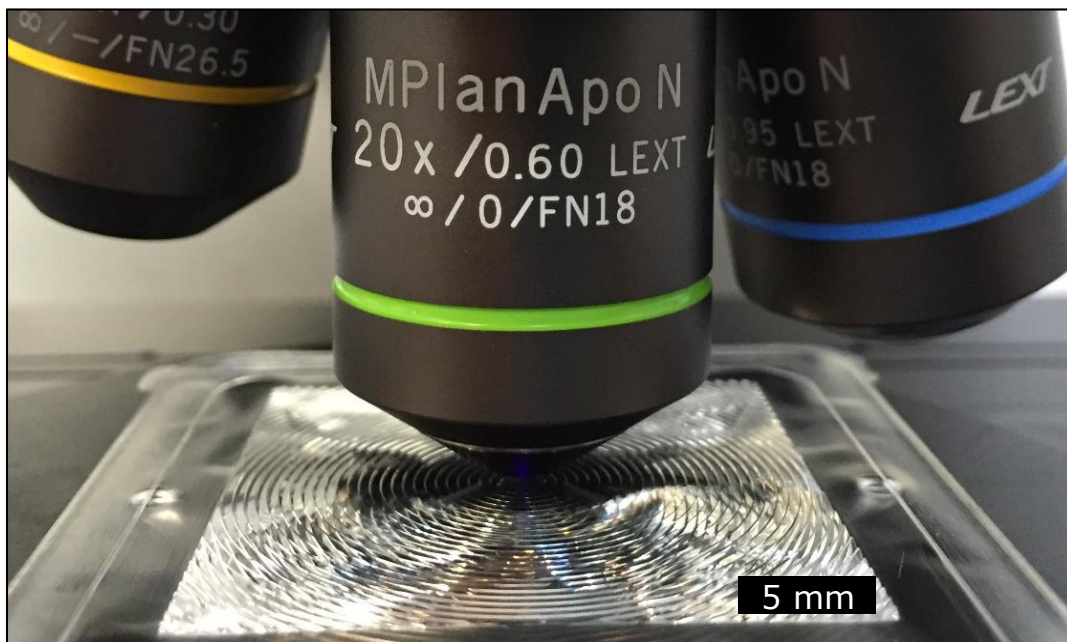
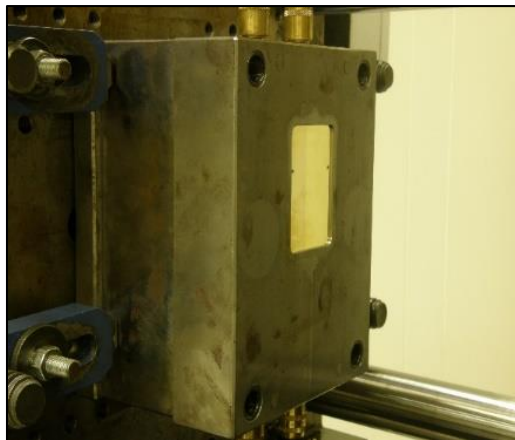
Metrology

DOE

Results and conclusions



# Introduction



## Introduction

### MASTERING Injection Compression Moulding

Correlation

### Technological signature

- **Geometry**
- **Surface roughness**

DEFINING  
Metrological routines  
on Fresnel lenses  
showing **transparent 3D**  
**micro-structured profile**

5 mm



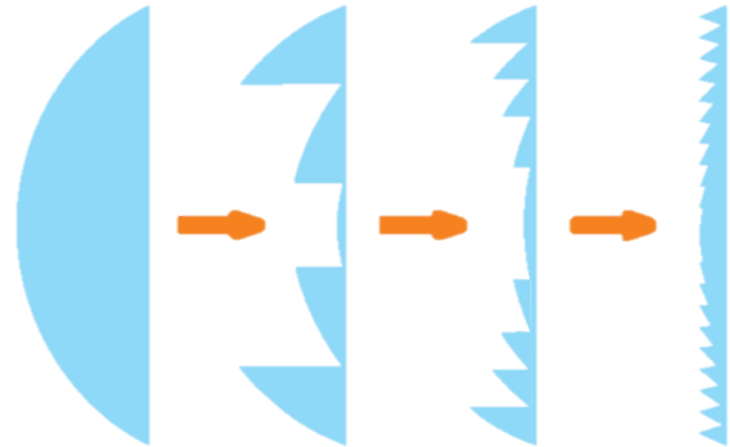
on Fresnel lenses:

- Lighter and more compact design (Davis et al. 2007)

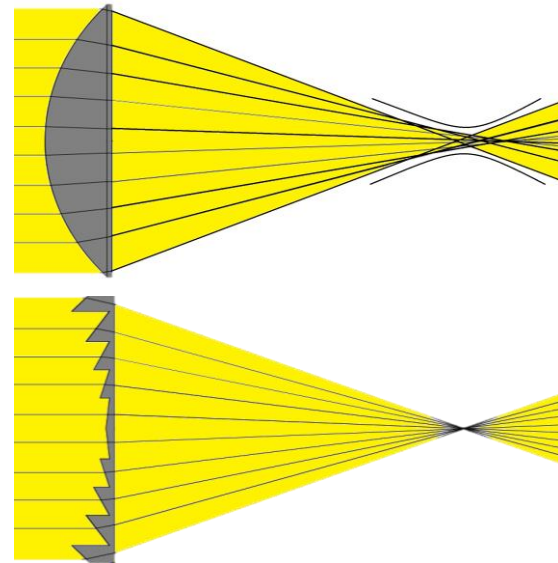
## State of the art



Copyright from top left: Ferrari®, Kilauea's municipality, Areva®, Apple®, Zylight®



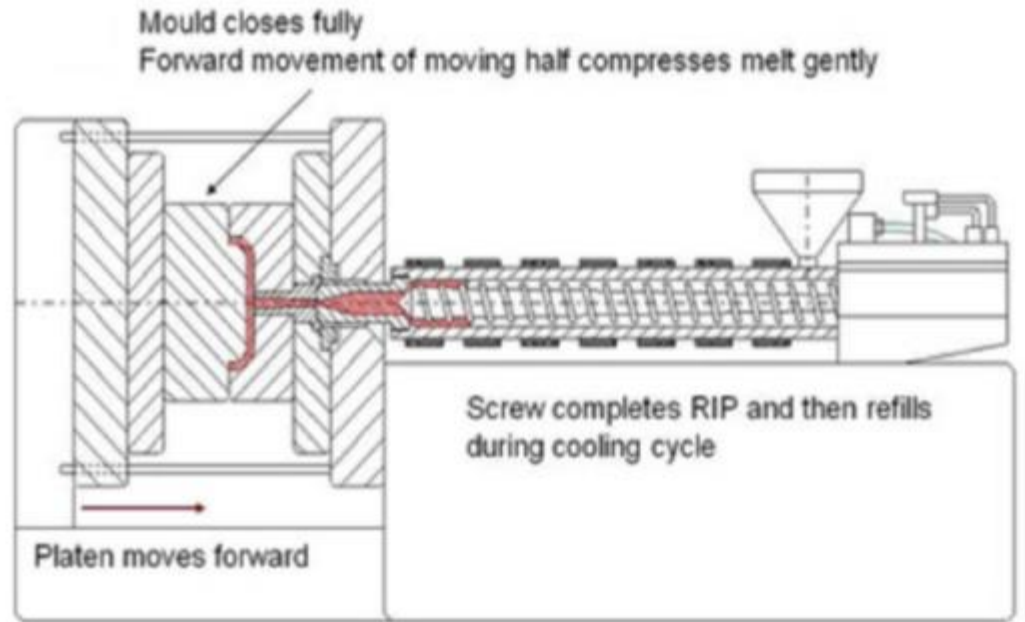
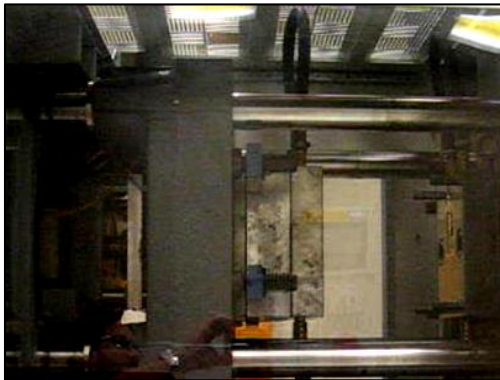
- Reduction of spherical aberration (John 2013)



# Compression Phase

on Injection Compression  
Moulding:

State of the art



[www.plastemart.com](http://www.plastemart.com)

Advantages against Injection Moulding (Hansen et. al 2011):

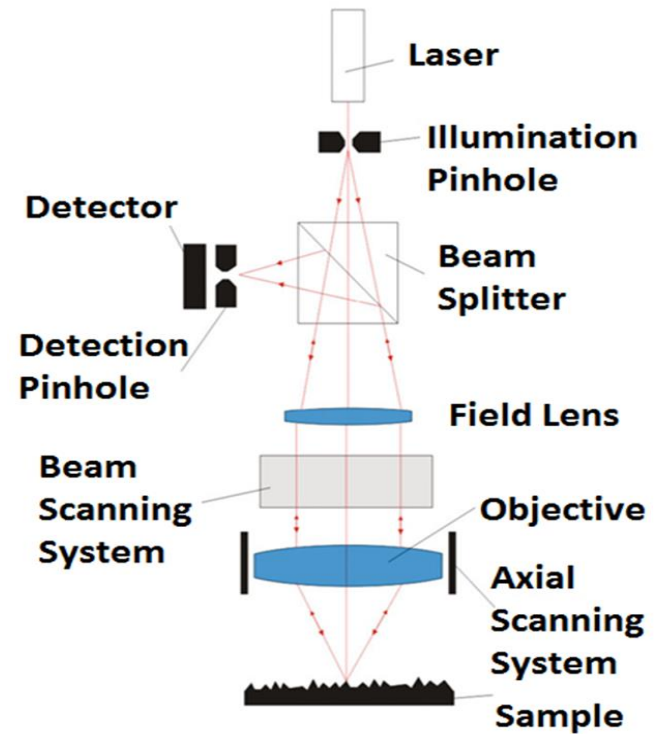
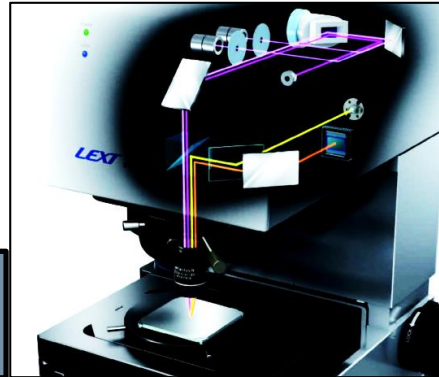
- Lower volumetric shrinkage
- Improved mould capacity replication
- Better transparency

Additional process parameters:

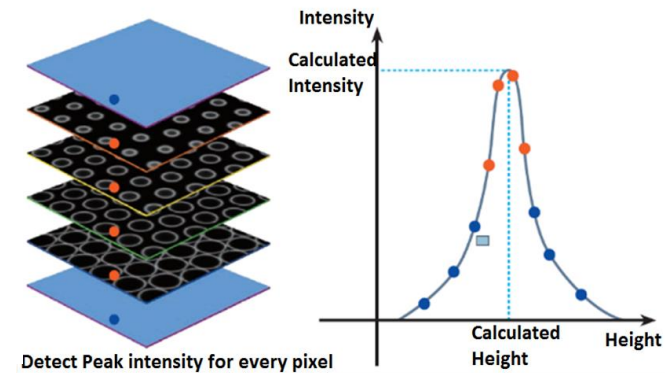
- Compression gap
- Compression starting point

on Laser Scanning confocal  
Microscope:

State of the art

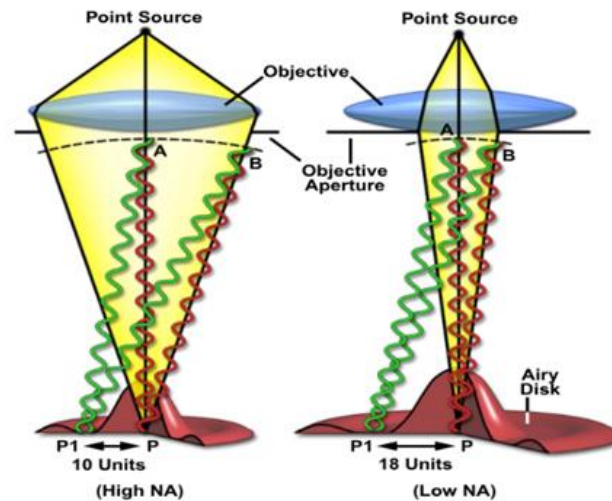


*Microscope architecture (Leach 2014)*

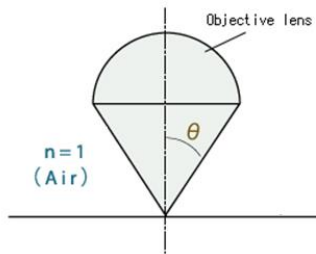


*Physical principle of Olympus® lext*

$$Rl = 0.73 \frac{\lambda}{NA}$$



$$NA = n \sin \vartheta$$



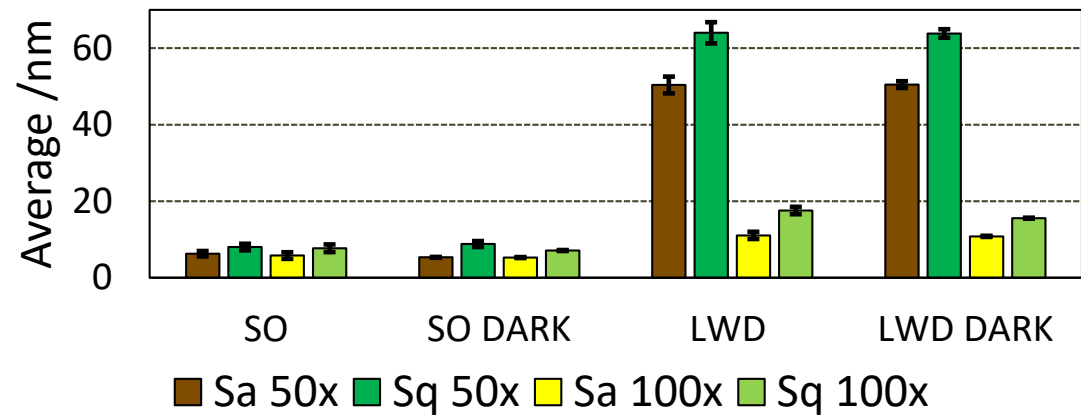
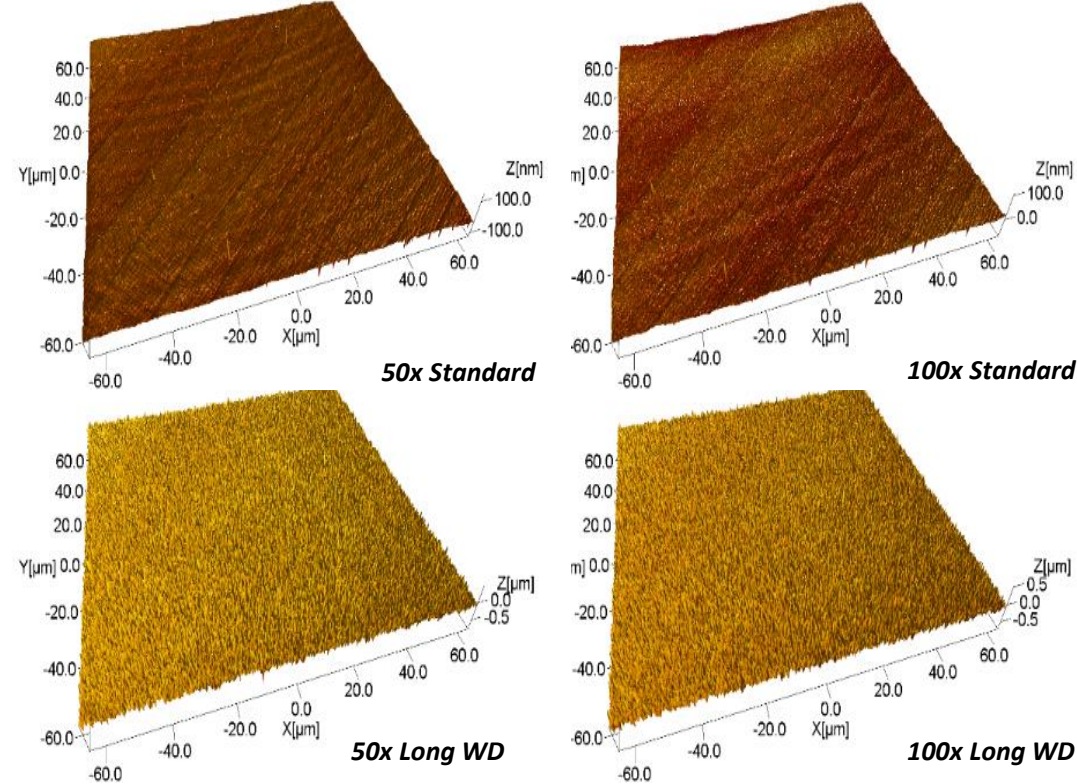
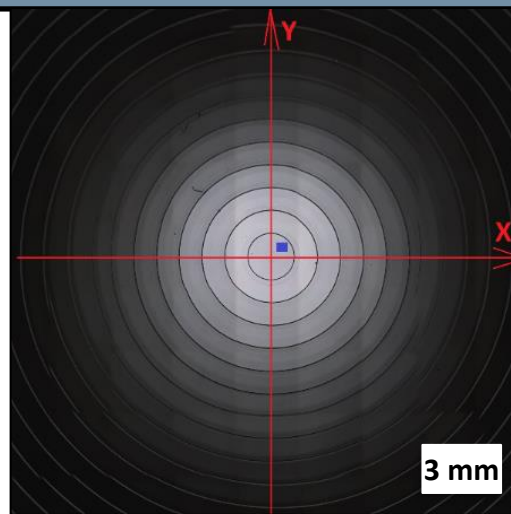
*Numerical Aperture and Objective Selection (microscopyU.com)*



**Roughness preliminary analysis:**

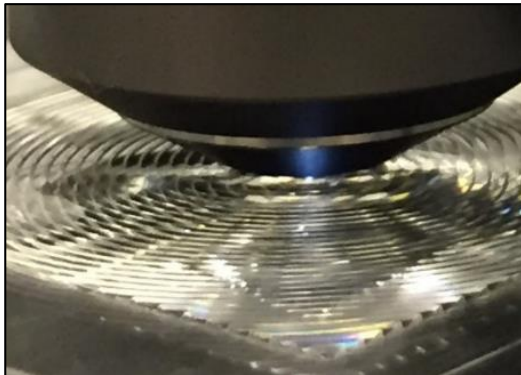


**Metrology**

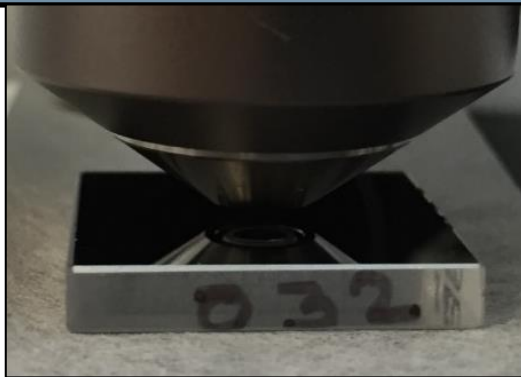




Noise estimation:

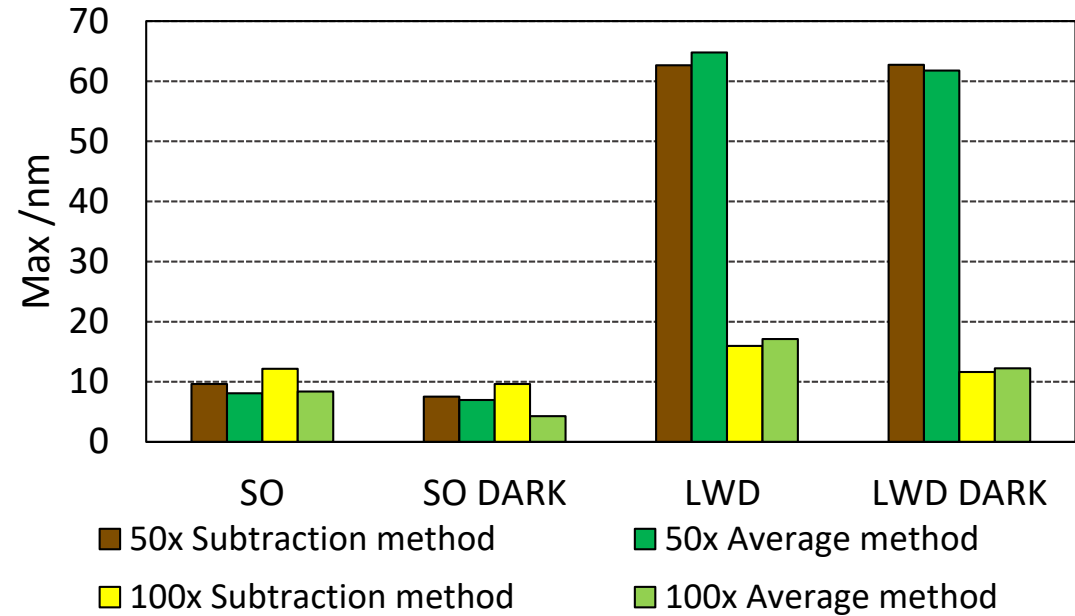


Metrology

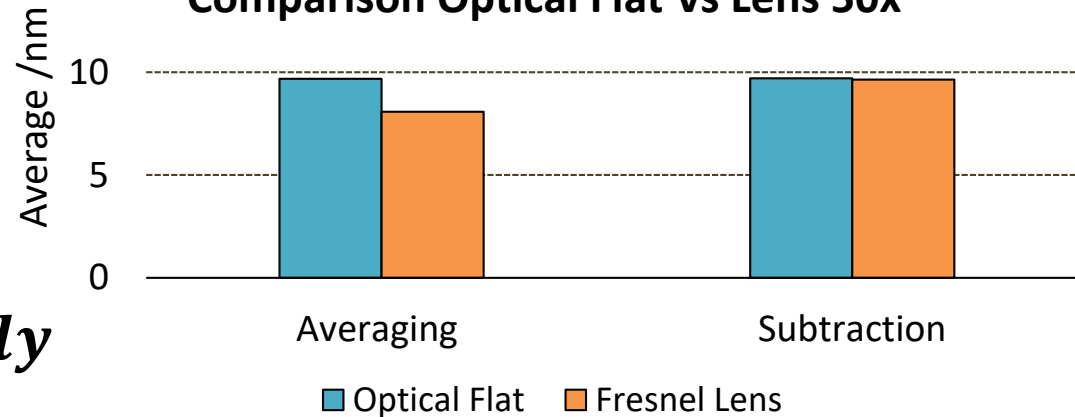


$$Sq = \frac{1}{A} \iint (z - z_0)^2 dx dy$$

## 'On-sample' Noise estimation (Sq noise)



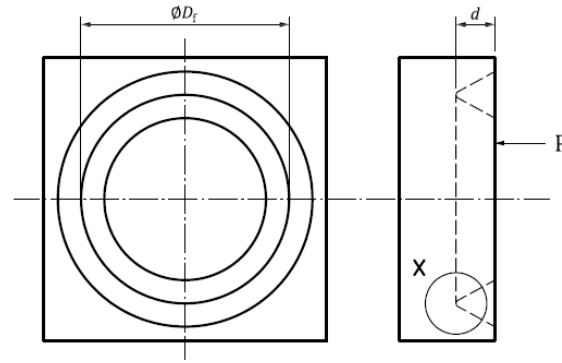
## 'On-sample' Noise estimation (Sq noise) Comparison Optical Flat Vs Lens 50x



*Dimensional length definitions:*

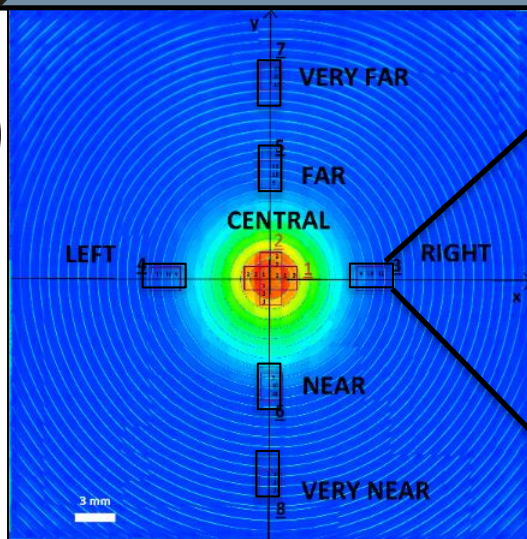


## Reference Standard – ISO 25178-70:2014

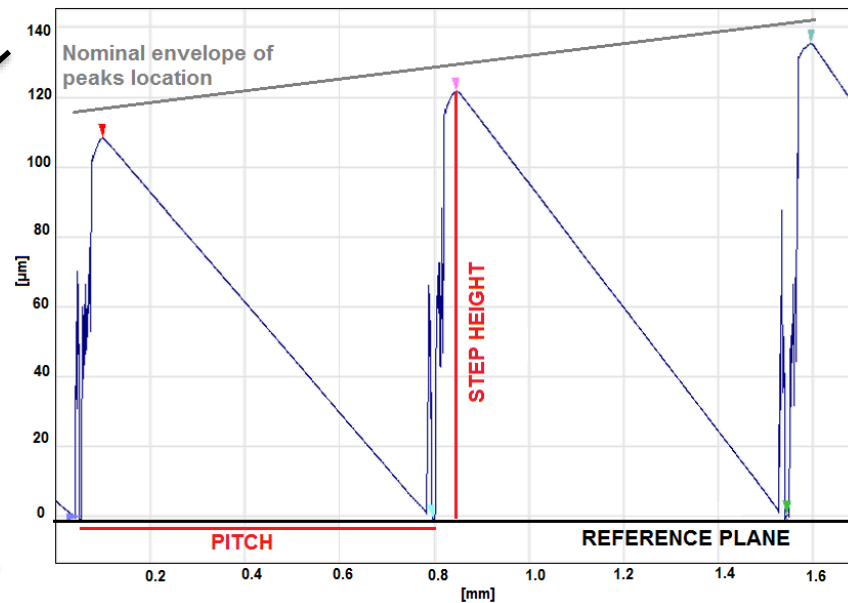


*P reference plane  
d depth of the groove*

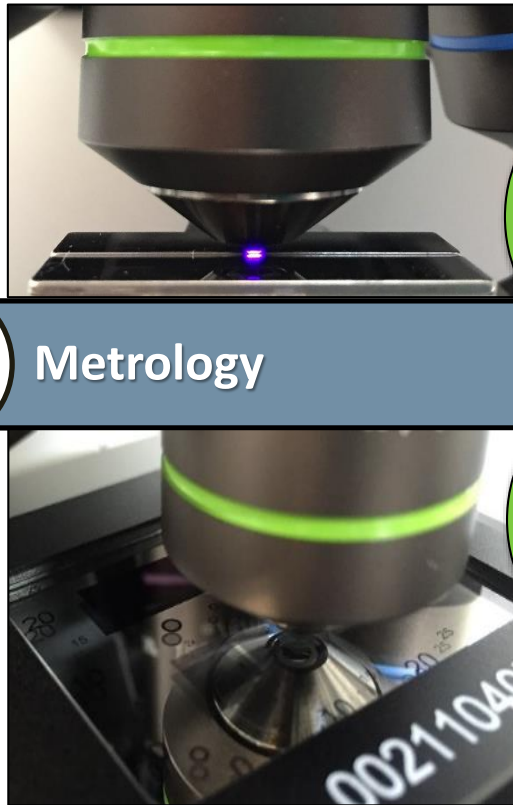
## Metrology



## Application of the standard to the sample profile



**Dimensional length  
Uncertainty budgets:**



**Metrology**

U<sub>b</sub>  
20  
replicates  
on optical  
flat

U<sub>c</sub>  
10  
replicates  
on Gauge  
blocks /  
Grids

U<sub>p</sub>  
10  
repeated  
measures  
on Gauges  
/ Grid

U<sub>wp</sub>  
Gauge /  
Grid  
thermal  
conditions  
in Lab

U<sub>wp</sub>  
10 process  
replica

U<sub>wt</sub>  
Sample  
thermal  
conditions  
in lab

Step  
Height /μm

Pitch /μm

**Reference Standard**  
**ISO 15530-3:2011**

|                 |            |                                 |
|-----------------|------------|---------------------------------|
| ub              | 0.0        | Background noise                |
| uc              | 0.1        | Calibration certificate         |
| up              | 0.6        | Procedure                       |
| ub              | 0.0        | Uncertainty due to systematics  |
| U <sub>wp</sub> | 0.4        | Workpiece – process             |
| U <sub>wt</sub> | 0.0        | Workpiece – material            |
| U <sub>w</sub>  | 0.4        | Workpiece                       |
| <b>U</b>        | <b>1.5</b> | <b>Expanded Uncertainty /μm</b> |
| ub              | 0.0        | Background noise                |
| uc              | 0.1        | Calibration certificate         |
| up              | 0.3        | Procedure                       |
| ub              | 0.0        | Uncertainty due to systematics  |
| U <sub>wp</sub> | 0.7        | Workpiece – process             |
| U <sub>wt</sub> | 0.0        | Workpiece – material            |
| U <sub>w</sub>  | 0.7        | Workpiece                       |
| <b>U</b>        | <b>1.6</b> | <b>Expanded Uncertainty /μm</b> |

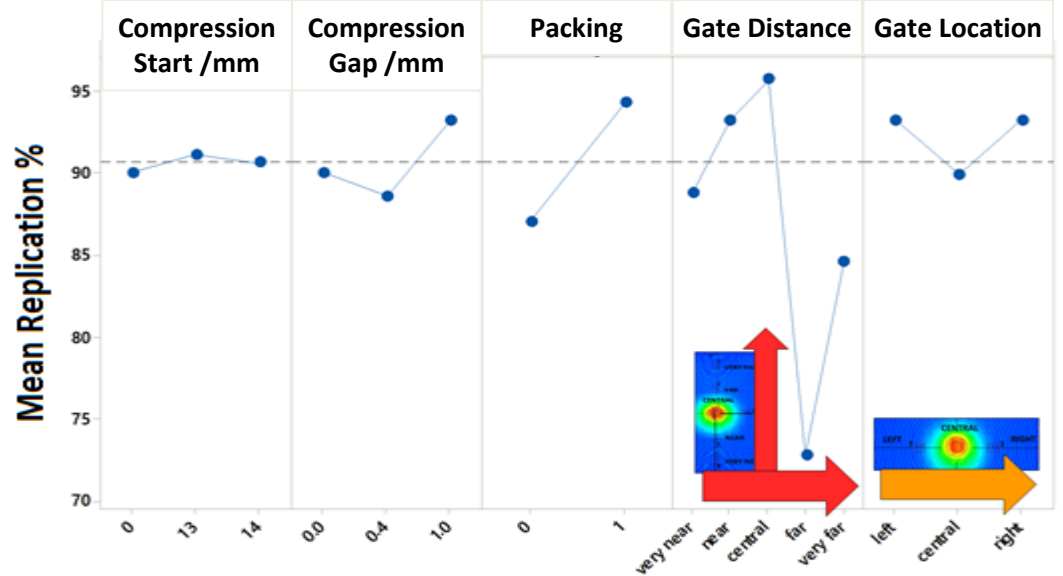
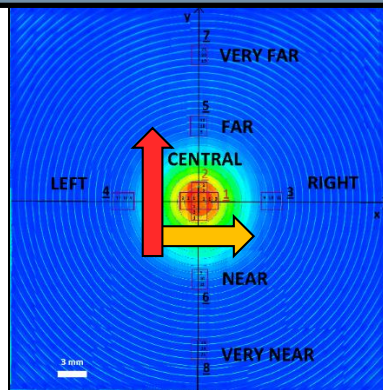
$$U = k \sqrt{u_b^2 + u_c^2 + u_p^2 + u_w^2}$$



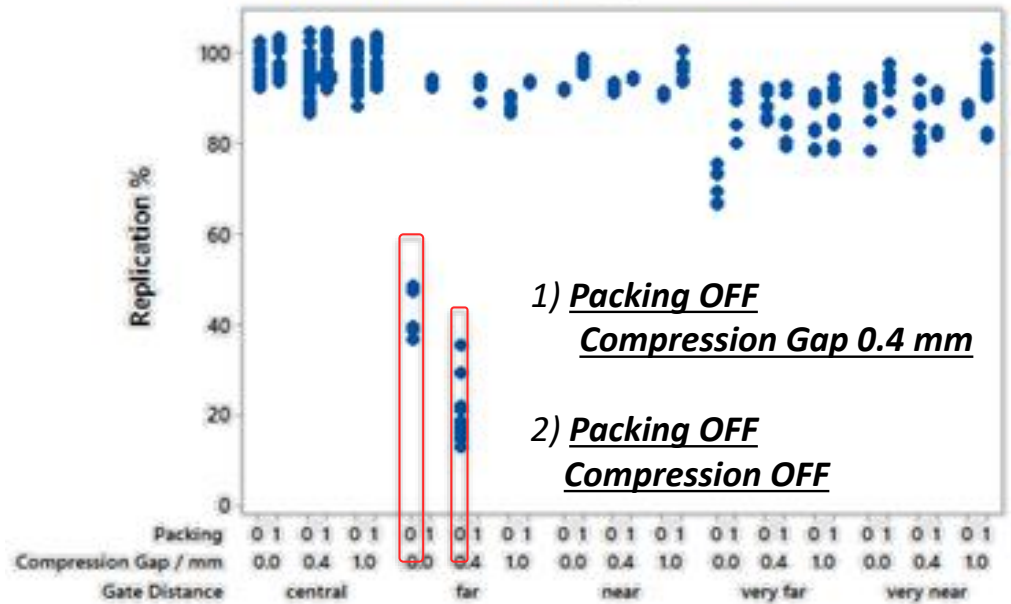
# DOE 1 – COP ZEONEX © E48R:

| Compression          |                 | Packing |
|----------------------|-----------------|---------|
| Compression Starts @ | Compression Gap |         |
| 13 - 14 [mm]         | 0.4 - 1 [mm]    | ON/OFF  |
| OFF                  | OFF             | OFF     |
| OFF                  | OFF             | ON      |
| 13                   | 0.4             | OFF     |
| 14                   | 0.4             | OFF     |
| 13                   | 1               | OFF     |
| 14                   | 1               | OFF     |
| 13                   | 0.4             | ON      |
| 14                   | 0.4             | ON      |
| 13                   | 1               | ON      |
| 14                   | 1               | ON      |

DOE



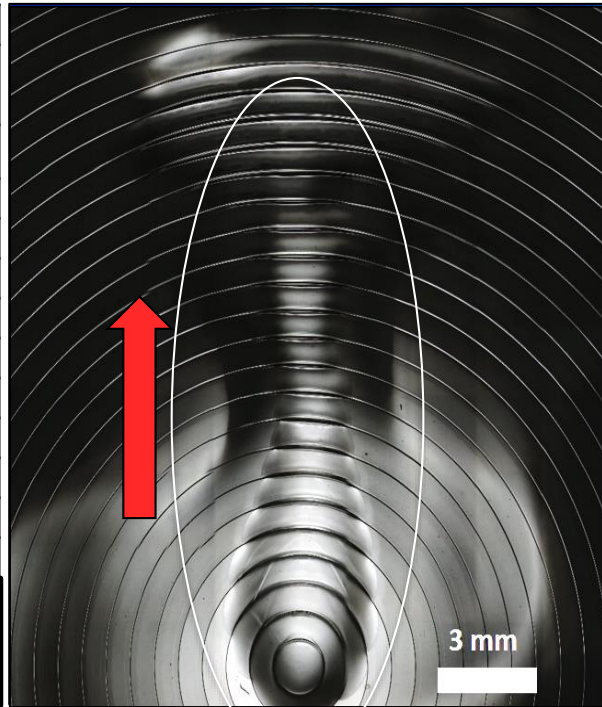
## Individual Value Plot of Replication %



## DOE 1 – COP ZEONEX © E48R:

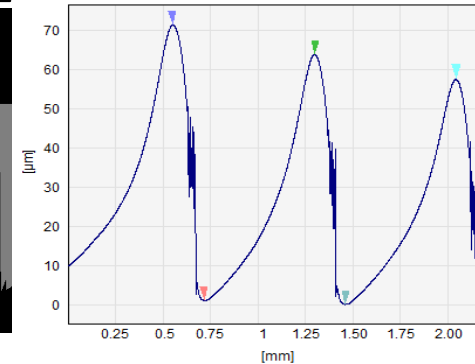
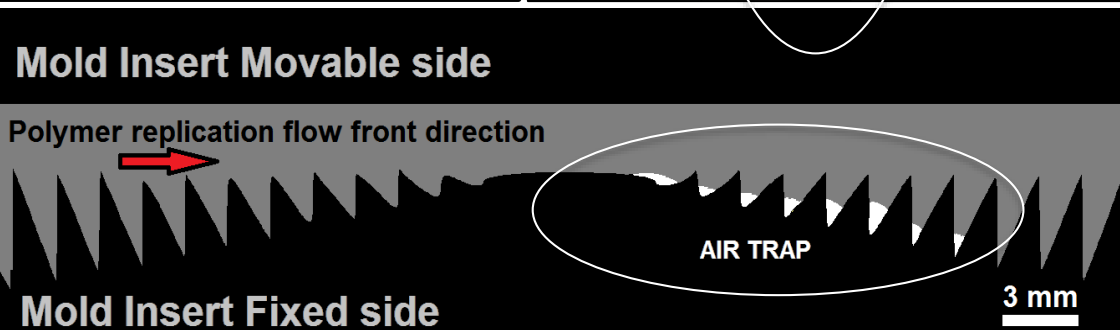
| Compression          |                 | Packing |
|----------------------|-----------------|---------|
| Compression Starts @ | Compression Gap |         |
| 13 - 14 [mm]         | 0.4 - 1 [mm]    | ON/OFF  |
| OFF                  | OFF             | OFF     |
| OFF                  | OFF             | ON      |
| 13                   | 0.4             | OFF     |
| 14                   | 0.4             | OFF     |
| 13                   | 1               | OFF     |
| 14                   | 1               | OFF     |
| 13                   | 0.4             | ON      |
| 14                   | 0.4             | ON      |
| 13                   | 1               | ON      |
| 14                   | 1               | ON      |

DOE



### UNEXPELLED AIR

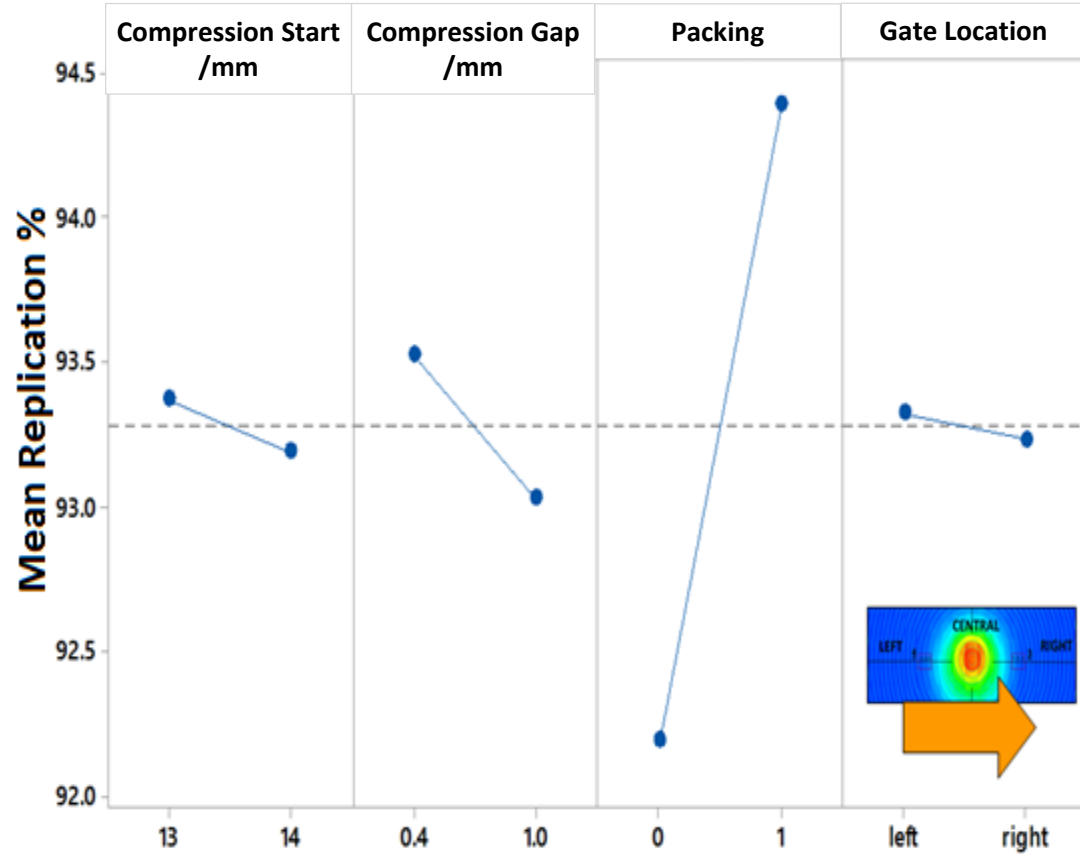
- Slope of the Fresnel profile compared to the replication flow front
- Absence of a resolving packing phase
- Absence of a sufficient compression stroke



**DOE 1 – COP ZEONEX © E48R:**

| Compression          |                 | Packing |
|----------------------|-----------------|---------|
| Compression Starts @ | Compression Gap |         |
| 13 - 14 [mm]         | 0.4 - 1 [mm]    | ON/OFF  |
| OFF                  | OFF             | OFF     |
| OFF                  | OFF             | ON      |
| 13                   | 0.4             | OFF     |
| 14                   | 0.4             | OFF     |
| 13                   | 1               | OFF     |
| 14                   | 1               | OFF     |
| 13                   | 0.4             | ON      |
| 14                   | 0.4             | ON      |
| 13                   | 1               | ON      |
| 14                   | 1               | ON      |

**DOE**

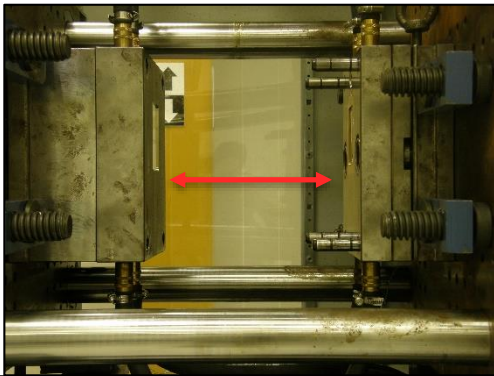


- Packing
- Compression Gap
- Symmetrical replication on the orthogonal direction of the polymer flow front



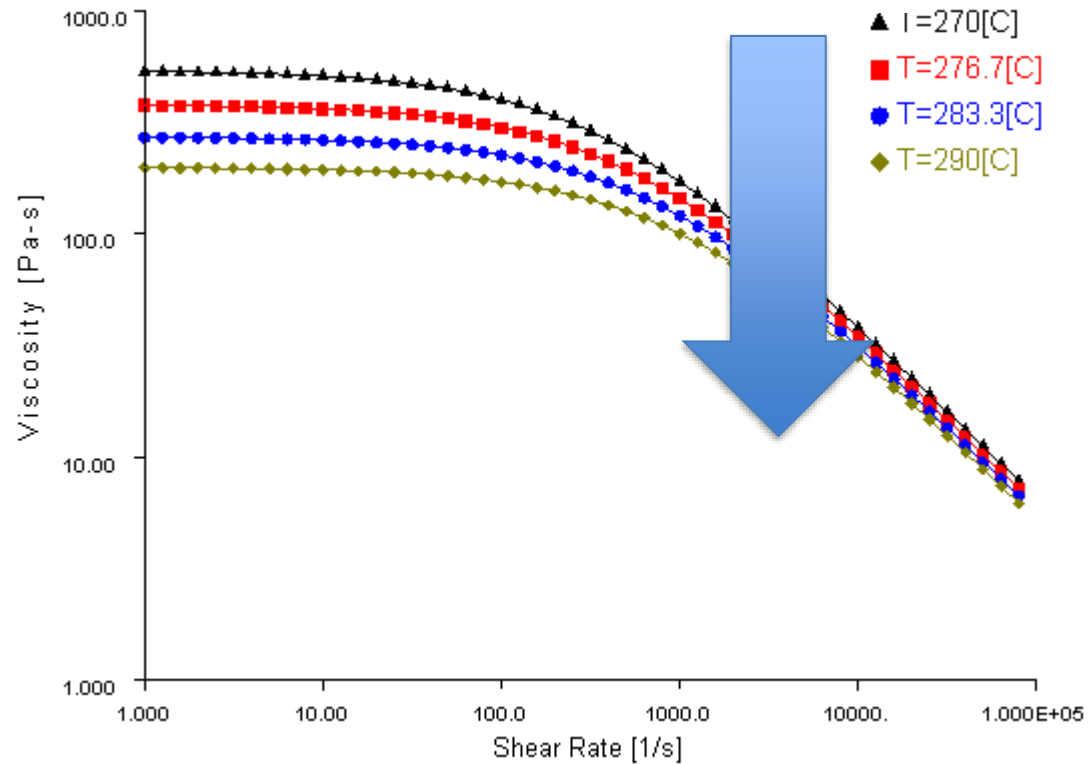
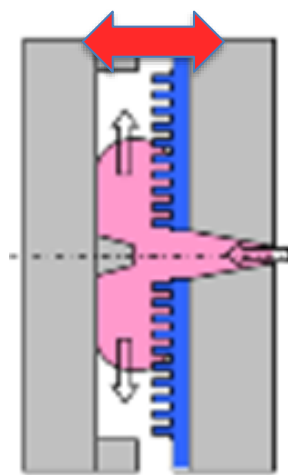
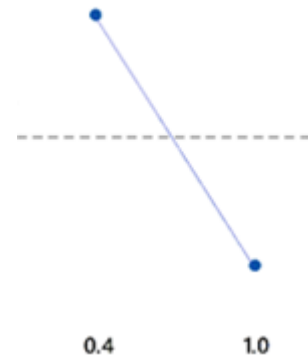
### Compression Gap:

- High Compression Gap lowers replication quality



### Results and conclusions

Compression Gap / mm



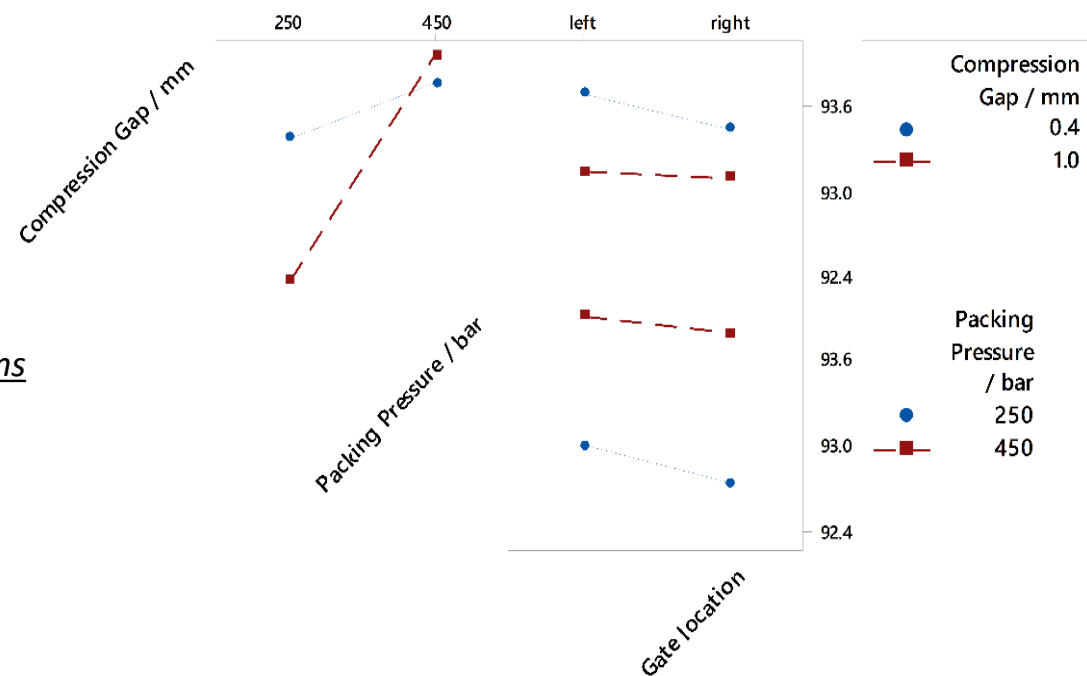
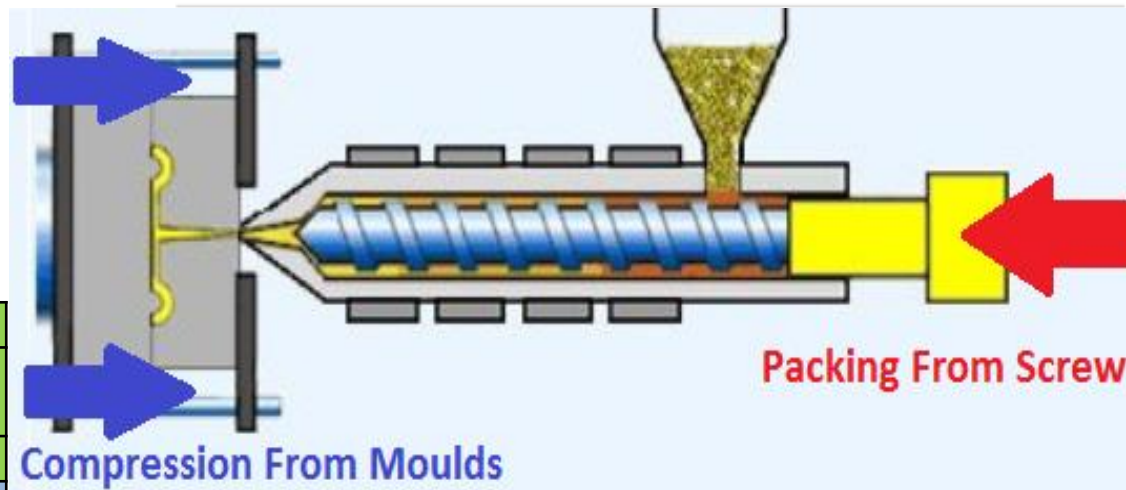
**DOE 3 – COP ZEONEX © E48R:**

| Compression     | Packing          |
|-----------------|------------------|
| Compression Gap | Packing Pressure |
| 0.4 - 1 [mm]    | 250 - 450 [bar]  |
| 0.4             | OFF              |
| 1               | OFF              |
| 0.4             | 450              |
| 1               | 450              |
| 0.4             | 250              |
| 1               | 250              |

**DOE**

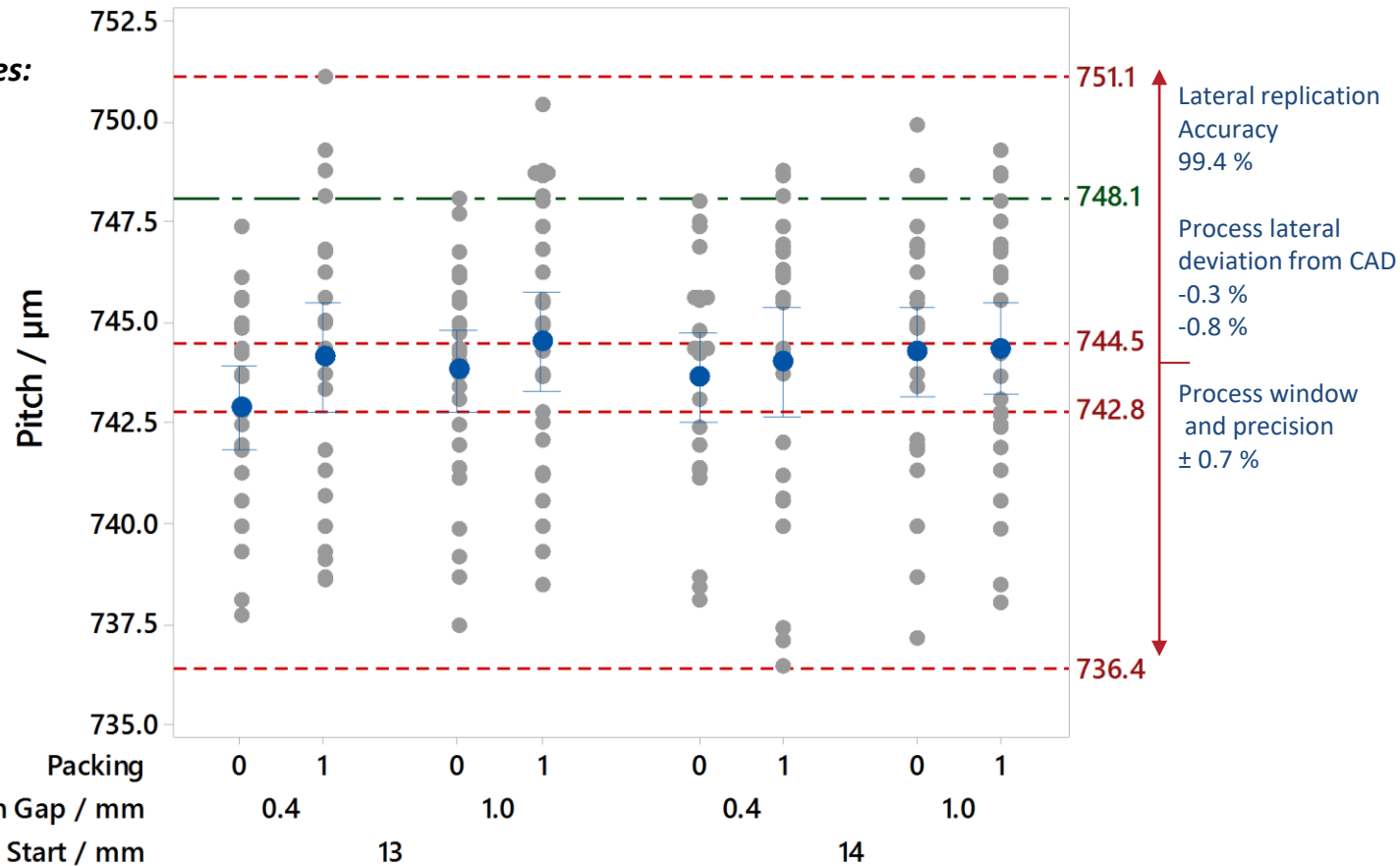
Considering only the central regions of the lens, factors significance:

- Packing
- Compression Gap
- Packing\* Compression Gap



## DOE 1 – COP ZEONEX © E48R:

Pitch measures:



## Results and conclusions

Pitch measures have highlighted the process window with an accuracy > 99 %

Precision stays below  $\pm 1 \%$

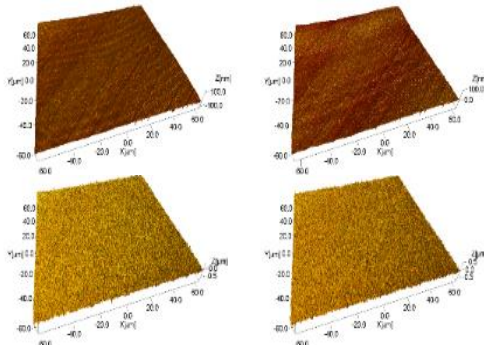
Process factors requires further investigations



## Established Metrological Routines:

### **Summary:**

- Better performance of standard objectives
- Validation of an 'on-sample' **noise evaluation** procedure
- The dimensional lengths have been characterized with uncertainty budgets for both pitch and step height

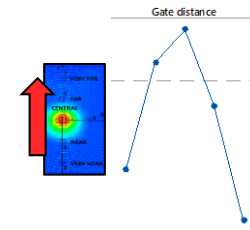
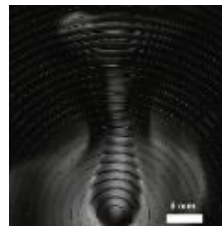


### ICM optimization guidelines:

- A large scale defect at far distance from the gate is a result of air trap due to the absence of packing and insufficient compression stroke
- Unbalanced replication along the flow direction observed



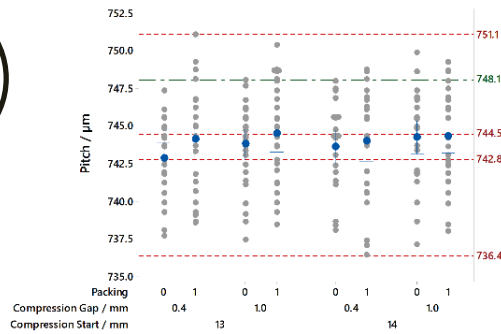
## Results and conclusions



## Summary:

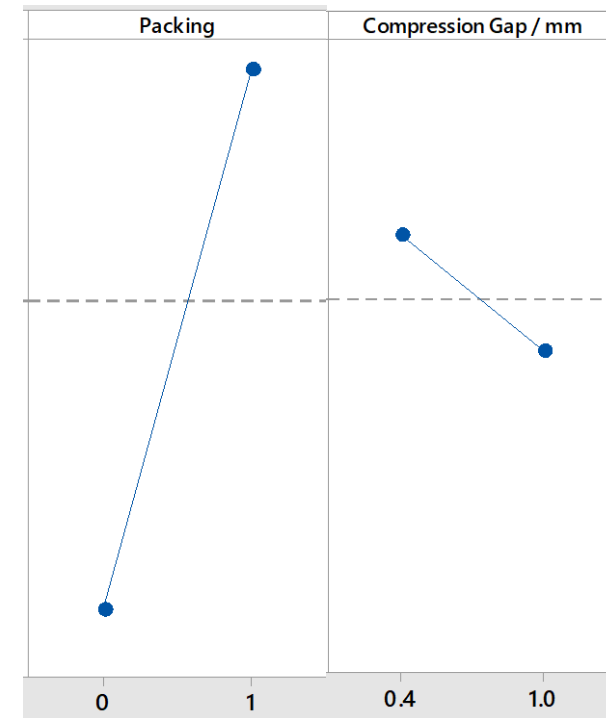
### On ICM optimization:

- **Symmetrical replication** along the orthogonal direction of the flow direction at the lens centre verified
- **Packing** phase increases the replication average response



## Results and conclusions

- **Compression** has not resolved the possibility to avoid a proper packing And must be optimized In accordance with **2<sup>nd</sup> Order interactions**
- Pitch measures have identified the process lateral **replication accuracy > 99 % and precision < ± 1%**



## On metrology:

### **Future Work:**

- Surface roughness of the Fresnel lens have shown  $S_q$  values in the range of 10 nm. To verify this results the **use of a different instrument**, as an atomic force microscope
- A comparison of results with another processing software could indicate **possible limitations due to manual settings or implemented methodologies**, especially for pitch results

## On ICM:

- A validation of the thermo-mechanical behaviour could be Proved by means of **process simulation** or pvt diagrams. This could also be an adequate troubleshooting to compensate and justify the large size defects
- **Functionality test** could be in a second room be performed to make a clear correlation between technological signature and optical functionality



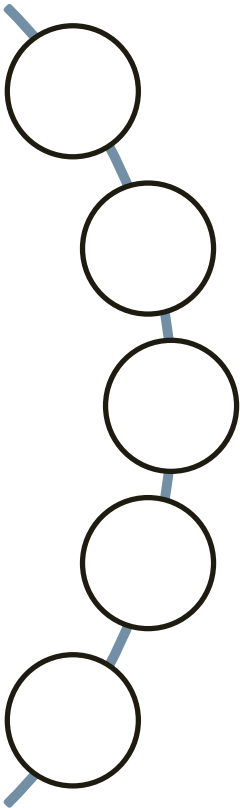
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DTU



Results and conclusions





*Thank you for your kind attention*

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## Appendix – Anova Tables DOE1

General Linear Model: Replication % Ou versus Compression Star, Compression Gap , Packing

### Analysis of Variance

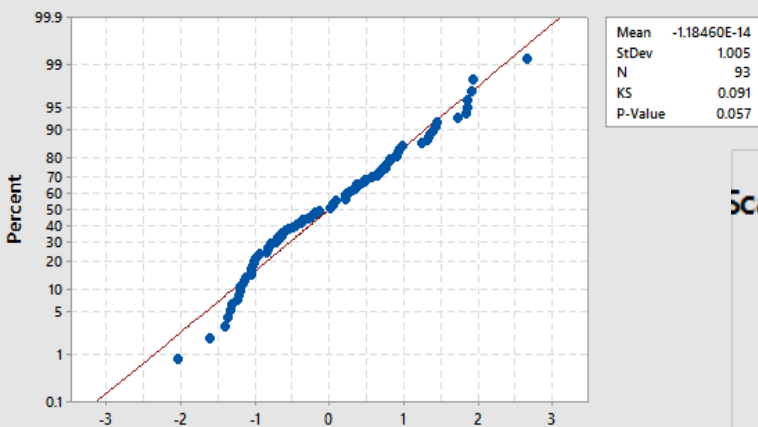
| Source                       | DF | Adj SS  | Adj MS  | F-Value | P-Value |
|------------------------------|----|---------|---------|---------|---------|
| Compression Starts @ / mm    | 1  | 0.473   | 0.473   | 0.75    | 0.388   |
| Compression Gap / mm         | 1  | 5.975   | 5.975   | 9.53    | 0.003   |
| Packing                      | 1  | 112.980 | 112.980 | 180.24  | 0.000   |
| Compression Gap / mm*Packing | 1  | 2.135   | 2.135   | 3.41    | 0.068   |
| Error                        | 88 | 55.161  | 0.627   |         |         |
| Lack-of-Fit                  | 27 | 8.940   | 0.331   | 0.44    | 0.990   |
| Pure Error                   | 61 | 46.221  | 0.758   |         |         |
| Total                        | 92 | 176.207 |         |         |         |

### Model Summary

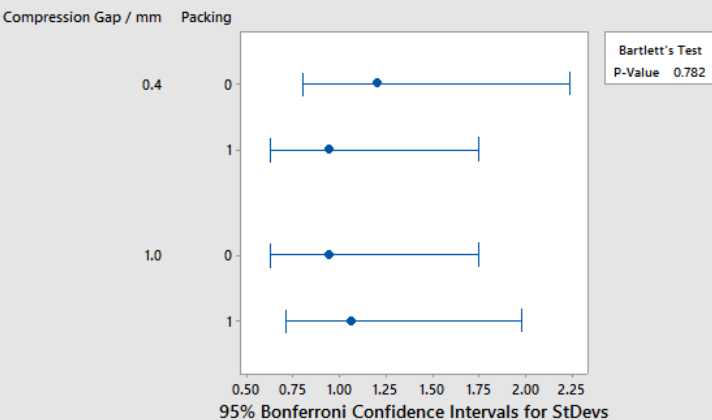
| S        | R-sq   | R-sq(adj) | R-sq(pred) |
|----------|--------|-----------|------------|
| 0.791729 | 68.70% | 67.27%    | 65.05%     |

# Appendix – Anova Tables DOE1

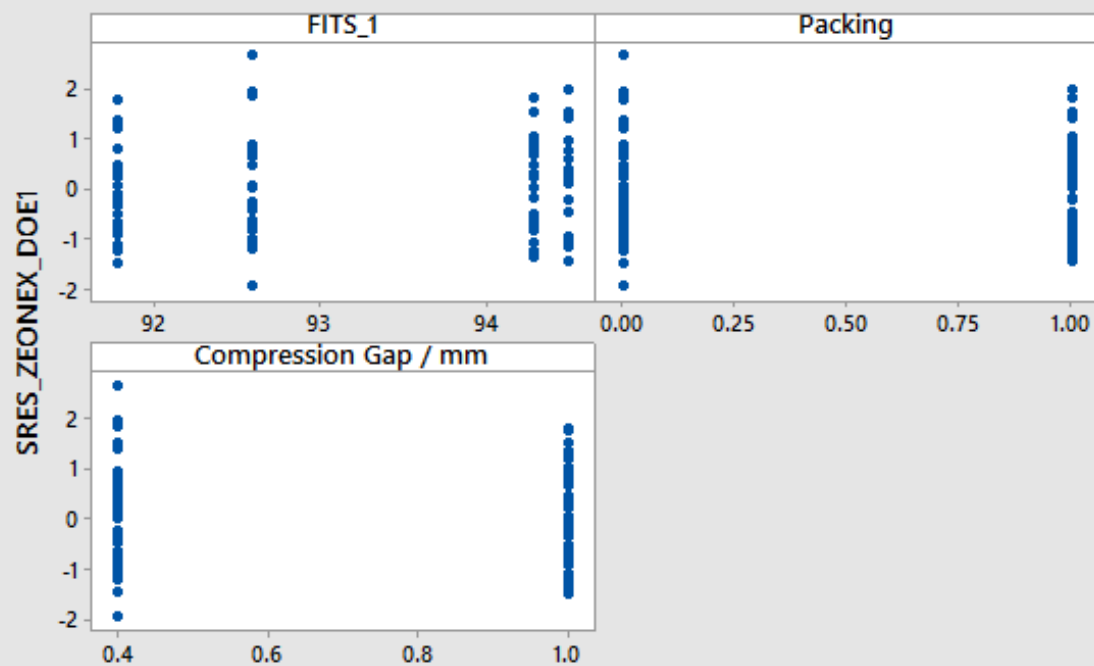
Probability Plot of SRES\_ ZEONEX\_DOE 1  
Normal



Test for Equal Variances: SRES\_1 vs Compression Gap / mm, Packing



Scatterplot of SRES\_ ZEONEX\_DOE1 vs FITS, Packing, Compression Gap / mm





## Appendix – Anova Tables DOE3

General Linear Model: Replication versus Compression , Packing Pres, Gate locatio

### Analysis of Variance

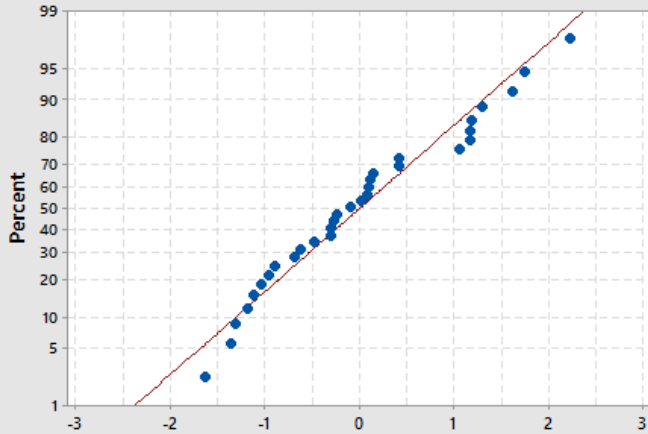
| Source                                      | DF | Adj SS  | Adj MS  | F-Value | P-Value |
|---|----|---------|---------|---------|---------|
| Compression Gap / mm                        | 1  | 1.1893  | 1.18925 | 19.69   | 0.000   |
| Packing Pressure / bar                      | 1  | 7.5502  | 7.55017 | 125.03  | 0.000   |
| Gate location                               | 1  | 0.3190  | 0.31896 | 5.28    | 0.030   |
| Compression Gap / mm*Packing Pressure / bar | 1  | 2.8140  | 2.81400 | 46.60   | 0.000   |
| Error                                       | 26 | 1.5701  | 0.06039 |         |         |
| Lack-of-Fit                                 | 3  | 0.0689  | 0.02298 | 0.35    | 0.788   |
| Pure Error                                  | 23 | 1.5012  | 0.06527 |         |         |
| Total                                       | 30 | 13.3735 |         |         |         |

### Model Summary

| S        | R-sq   | R-sq(adj) | R-sq(pred) |
|----------|--------|-----------|------------|
| 0.245740 | 88.26% | 86.45%    | 83.31%     |

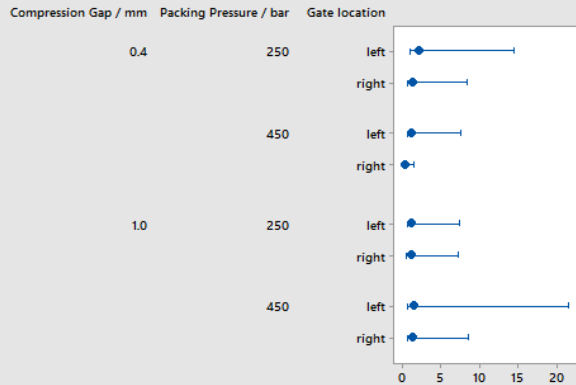
## Appendix – Anova Tables DOE3

Probability Plot of SRES\_1  
Normal



Mean -0.0001498  
StDev 1.016  
N 31  
AD 0.463  
P-Value 0.240

Equal Variances: SRES\_1 vs Compression Gap / mm, Packing Pressure / bar, Gate location



Bartlett's Test  
P-Value 0.202

95% Bonferroni Confidence Intervals for StDevs

Scatterplot of SRES\_1 vs FITS\_1, Compression Gap , Packing Pressure

